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2

3 **TITLE:** Management of daratumumab interference in Portuguese blood transfusion
4 medicine: a literature review about unmet needs and challenges

5

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EARLY VIEW

64 **TITLE:** Management of daratumumab interference in Portuguese blood transfusion
65 medicine: a literature review about unmet needs and challenges

66

67 **ABSTRACT**

68 Multiple Myeloma is a malignant disease of the bone marrow plasma cells that
69 mainly affects older people, and whose prognosis is reserved on relapsing or
70 refractory disease. The arrival of CD38 monoclonal antibody daratumumab into the
71 market allowed an increase in overall survival rate, with limited associated toxicity,
72 for pre-treated multiple myeloma patients. However, this antibody interferes with pre-
73 transfusion tests since erythrocytes also express, even at reduced levels, CD38
74 receptors.

75 A literature review and discussion about this topic was performed by a board of
76 Portuguese Immuno-hemotherapy experts.

77 Based on the literature review, the mechanisms by which daratumumab interferes
78 with pre-transfusion tests and may mask the presence of irregular antibodies in
79 plasma of treated patients, which may result in difficulties in preparing and delivering
80 results and blood components to be transfused, were identified and discussed. In
81 addition, the applicable strategies in clinical practice to mitigate this interference
82 were identified, namely the denaturing method of CD38 with dithiothreitol,
83 considered an inexpensive, reproducible, reliable and validated technique, although
84 with logistic limitations and should not be ignored. The experts also assessed and
85 discussed the main concerns and priorities of transfusion medicine services,
86 considering the limitations of this technique and its implication in routines for
87 transfusional support.

88 The experts agreed on the importance of communication between physicians,
89 hematologists, immuno-hemotherapy specialists, nurses and patients, as well as the
90 need to align all methodologies to develop global and universal protocols to the
91 various clinical analysis laboratory centers.

92

93 **Keywords:** Blood tests, Daratumumab, Transfusion Medicine, Dithiothreitol

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96 INTRODUCTION

97 Multiple myeloma (MM) is a malignant blood cancer that results from the infiltration
98 of abnormal clonal plasma cells into the bone marrow and immune evasion [1]. Risk
99 factors include increased age, male gender, family background and mostly genetic
100 modifications, such as chromosomal translocations and hyperdiploidy [1,2]. In
101 patients with disease double refractory to a proteasome inhibitor (PI) and an
102 immunomodulatory drug (IMiD), life expectancy is around 9 months [3].

103 Over the last years, researchers have put great effort on the clinical development of
104 innovative and more efficacious drugs, such as immunomodulators (e.g.
105 lenalidomide), proteasome inhibitors (e.g. bortezomib), histone deacetylase inhibitors
106 (e.g. panobinostat), and monoclonal antibodies (e.g. daratumumab, elotuzumab) [3,
107 4]. Many studies have focused on CD38, a 46-kDa type II multifunctional
108 transmembrane glycoprotein that plays an important role in hemato-oncology
109 malignancies, from both lymphoid and myeloid origin, such as chronic
110 lymphoproliferative leukemia and myeloma [5, 6]. However, CD38 receptors are also
111 present, with lower expression, in normal cells, such as red blood cells (RBCs),
112 myeloid cells and platelets [7, 8]. Human CD38 acts as an enzyme with cyclase and
113 hydrolase activities, mediates lymphocyte endothelial adhesion and promotes
114 proliferation of both T and B immune cells [3, 9, 10]. Thus, the presence of this
115 receptor is recognized as a prognostic factor and a potential therapy target as its
116 overexpression is associated with decreased immune function and disease
117 progression in patients with myeloma [11, 12].

118 Daratumumab, a fully human IgG1 monoclonal antibody, approved by the US Food
119 and Drug Administration (FDA) as monotherapy for the treatment of heavily
120 pretreated adult patients with RRMM, [15] and in combination with lenalidomide and
121 dexamethasone or bortezomib and dexamethasone, for the treatment of adults'
122 patients with multiple myeloma who have received at least one prior therapy.

123 Lately, it has been detected that daratumumab interferes with laboratory tests, such
124 as blood compatibility testing (direct and indirect antiglobulin testing) and
125 immunofixation electrophoresis (IEF) [3,12]. Currently, other CD38 monoclonal
126 antibodies are under clinical development (eg. isatuximab and MOR202) and as
127 these bind CD38 receptors, the same interference is expected/observed [3,12].

128 Due to the aggressive nature of MM, transfusions are frequently at therapeutic option
129 for patients with relapsed/ refractory disease. Therefore, interference with blood
130 compatibility tests is a concern among health care professionals, mainly Clinical
131 Hematologists and Oncologists, as it may lead to delays in the delivery of results and
132 conditions for transfusion. This led to an intense search for strategies and methods
133 that can mitigate those interferences. The aim of this review is to present the current
134 “state of art” on this topic and contribute to a more informed discussion and
135 decisions about management of daratumumab interference in blood transfusion
136 tests.

137

138 **Methods**

139 The present report resulted from a bibliography review and further discussion in an
140 expert meeting held in Lisbon, in September 2016. Portuguese consultant members
141 of Immuno-hemotherapy field, from reference hospitals and clinical analysis
142 laboratories in Portugal, took part of this meeting. Literature review allowed the
143 collection of information for the discussion preparation, mainly about MM (i.e.,
144 epidemiology, clinical manifestations, natural history of the disease and currently
145 available therapeutic options), as well as treatment with daratumumab (i.e., drug
146 class, mechanism of action, efficacy and safety data, and interference with blood
147 compatibility testing).

148

149 **Results**

150 The hemotherapy experts focused the discussion on the mechanisms by which
151 daratumumab interferes with blood compatibility tests and the possible methods and
152 challenges to counteract this interference, bringing the following questions to
153 discussion:

- 154 1) Which analysis should be done before treatment with daratumumab?
- 155 2) Which analysis should be done during treatment with daratumumab, and
156 before transfusion?
- 157 3) What information should be shared with the transfusion medicine services?
- 158 4) What information should be shared with Hematologists/ Clinicians?

159 5) Should the centers that operate with dithiothreitol (DTT) method be
160 centralized?

161

162 **Multiple Myeloma: Epidemiology, clinical presentation and available**
163 **treatments**

164 MM accounts for 0.8% of all cancers, with an incidence of 114,000 cases and 80,000
165 deaths worldwide [16]. MM is a very complex and heterogeneous cancer, which
166 involves several genetic pathways, responsible for its progression and poor
167 prognosis. For example, deregulation of G1/S transition and of tumor suppressor
168 genes, like the loss of TP53 gene, contribute to MM progression and aggressiveness
169 [1, 2]. Disease prognosis is based on fluorescence in situ hybridization (FISH)
170 technique to evaluate genetic events, such as gain of 1q, loss of 17p and the
171 adverse translocation groups t(4;14), t(14;20) and t(14;16) [1, 2]. In terms of clinical
172 presentation, the bone marrow microenvironment is affected by an abnormal
173 infiltration of clonal plasma cells, deregulating the immune system [2]. The clinical
174 symptoms of MM include lytic bone lesions, anemia, hypercalcemia and renal failure
175 [1].

176 Currently, there are several drugs available for newly diagnosed MM and relapsed
177 disease due to the advances in clinical research and to a deeper knowledge of the
178 underlying biology of multiple myeloma. Briefly, the most representative groups of
179 drugs for MM are alkylating agents, corticosteroids, immunomodulatory agents,
180 proteasome inhibitors, histone deacetylase (HDAC) inhibitors and monoclonal
181 antibodies. In fact, monoclonal antibodies have demonstrated to be a successful
182 target therapy for MM while promoting immunomodulatory effects that prevent
183 cancer recurrence, however with a high heterogeneity of results [3, 11]. In
184 particular, the fully human anti-CD38 daratumumab, which binds strongly to a
185 specific epitope of this overexpressed receptor in myeloma cells, has been clinically
186 assessed, successfully, in monotherapy for patients with relapsed and refractory
187 MM, as well as in combination with bortezomib and dexamethasone or lenalidomida
188 and dexamethasone for patients who have received one or more previous lines of
189 therapy [13, 14].

190 Daratumumab main mechanisms of action are: complement-dependent cytotoxicity
191 (CDC), antibody-dependent cell-mediated cytotoxicity (ADCC), antibody-dependent
192 cellular phagocytosis (ADCP), direct tumor apoptosis and modulation of the
193 enzymatic activity of CD38 [11,12,17]. Moreover, daratumumab shows
194 immunomodulatory effects through reduction of CD38-positive regulator immune
195 suppressor cells with subsequent induction of T Cell expansion [12].

196 Usmani and colleagues hypothesized that daratumumab may enhance the patients
197 response to subsequent treatments. An additional possibility is that, due to the
198 tolerability of daratumumab, patients may be afforded an opportunity to recover from
199 the stress of prior therapies, allowing them to receive subsequent therapies at higher
200 doses or in a more aggressive dosing schedule [14]. Additionally, this drug can be
201 used in special populations, such as patients with liver or renal impairment, since it is
202 not metabolized by the kidney and no dose modifications are necessary in mild
203 hepatic disease [14].

204 Anti-CD38 monoclonal antibodies appear to be a great opportunity for MM targeted
205 treatment, as well as for other hematologic malignancies (e.g., Non-Hodgkin's
206 lymphoma). While several of these are being developed, and are under preclinical
207 and clinical studies, it has been determined that some monoclonal antibodies (e.g.,
208 siltuximab, rituximab, infliximab, cetuximab, trastuzumab, bevacizumab, adalimumab
209 and ofatumumab) can interfere with serum protein electrophoresis (SPEP),
210 immunofixation electrophoresis (IEF), flow cytometry and blood compatibility tests
211 [3,18]. Particularly, it has been observed that CD38 monoclonal antibodies, such as
212 daratumumab, can interfere with blood compatibility testing, such as, direct and
213 indirect antiglobulin tests (DATs and IATs), causing false-positives due to the
214 antibody direct binding to the low-expressed CD38 receptors on RBCs (in vitro pan-
215 reactivity) [8].

216

217 **Daratumumab interference with laboratory testing**

218 As aCD38 monoclonal antibody, daratumumab binds to erythrocytes, as these also
219 express CD38 surface cell antigen, although at lower levels [19]. Therefore, binding
220 of daratumumab to RBCs may mask the presence of irregular antibodies in patients'
221 plasma, such as the ones for K and E antigens [8]. Also, clinically non-significant

222 decreases of Hb have been reported, although adverse events encountered with
223 daratumumab infusion did not include anemia or hemolysis and patients did not
224 require blood transfusion. This may be due to rapid clearance of a subpopulation of
225 daratumumab-coated erythrocytes presumably via the spleen [18].

226 Antiglobulin test (also known as Coombs test) is important in transfusion medicine to
227 identify blood compatibility features and avoid complications during transfusions [20].

228 Based on both daratumumab clinical trials (GEN501 and SIRIUS), all patients
229 previously phenotyped were assessed for changes in hemoglobin levels, transfusion
230 outcomes and clinical sites experience. As conclusion, none of the transfusions were
231 associated with complications, but many patients, after treatment with daratumumab,
232 demonstrated in vitro panreactivity on RBCs panel testing [24]. This interference may
233 persist for up to 6 months after the last daratumumab infusion [18].

234 While conducting a clinical trial with daratumumab, Schmidt and colleagues found
235 that patients have negative antibody screens for RBCs alloantibodies; however, after
236 starting daratumumab, patients rapidly developed positive antibody screenings. The
237 authors reported difficulties in determining the presence of clinically significant RBCs
238 antibodies due to the interference of daratumumab with DATs and IATs [21]. In spite
239 of this, it should be noted that there is no interference with the identification of AB0 /
240 RhD antigens, and that no transfusion-related cases of hemolysis were identified in
241 the global safety database for daratumumab [7, 22].

242

243 **Challenges and methodologies in medicine transfusion**

244 According to recent studies, several methods have been developed to mitigate the
245 CD38 antibodies interference. International researchers have analyzed and
246 discussed extensively this topic and came to three main solutions: 1) Chemical
247 denaturation/ reduction of CD38 disulfide bonds with DTT or trypsin; 2) Patients'
248 RBCs baseline phenotyping or genotyping, before starting treatment with
249 daratumumab; and 3) Neutralization of anti-CD38 antibodies in patients' plasma, with
250 an anti-idiotypic antibody that blocks daratumumab and CD38 interactions or with a
251 soluble recombinant CD38 (sCD38) [8]. Other solutions were also presented, such
252 as the use of a screening panel, obtained with cord blood cells (which show low
253 expression of CD38 receptors), to determine the clinically significant alloantibodies in

254 daratumumab-treated patients [21]. However, most routine laboratories, especially in
255 Europe, do not have access to cord blood samples neither to typed cord RBCs,
256 compromising this strategy's applicability in daily practice [23]. Although all
257 developed strategies show some limitations,
258 CD38 denaturation seems to be the most suitable choice to apply as a gold-standard
259 technique in laboratory testing for patients treated with daratumumab.

260 Researchers have conducted a validation study for DTT method in multiple
261 academic medical centers and blood center reference laboratories worldwide
262 (DARA-DTT Study Group) [19]. The study was conducted in 25 different countries,
263 not including Portugal. All sites followed a defined protocol for two coded plasma
264 samples, one containing only daratumumab and the second one spiked with
265 daratumumab and unknown antibodies. Agglutination was eliminated using DTT
266 treated RBCs in 100% of the sites and it was possible to identify correctly the
267 unknown antibodies. Furthermore, many laboratory professionals agreed that DTT
268 denaturation is simple to use and easy to apply as standard procedures in laboratory
269 [19]. The main disadvantage is that DTT also affects other blood group antigens,
270 such as Kell, Cartwright (Yt), John Milton Hagen (JMH), Knops (KN), Landsteiner-
271 Wiener (LW), Lutheran (LU), Dombrock (DO) and Cromer (CR); most importantly, the
272 laboratory must confirm if the patient's sample is negative for Kell antigen [24].

273 Additional recommendations include phenotyping, before the patient is treated with
274 CD38 monoclonal antibody, while genotyping can be performed before or after drug
275 administration [24]. In fact, although transfusions could be based on antigen
276 phenotyping and/or genotyping, these procedures are very time-consuming,
277 expensive and limited to the available number of matching donors. To address this
278 issue two potentially neutralizing agents were analyzed, in order to restore the
279 reliability of the antiglobulin tests. Addition of an excess of anti-idiotypic antibodies or
280 sCD38 protein to the test abrogated CD38 MoAb interference and successfully
281 restored irregular antibody screening and identification [18]. Although neutralization
282 of anti-CD38 antibodies allow the correct identification of irregular antibodies from K
283 and E antigens, it is a very expensive method and lacks an approved supplier, as
284 well as reproducibility.

285

286 **DISCUSSION**

287 In line with international studies on this matter, Portuguese experts have identified
288 the main challenges and proposed possible solutions, including methodologies to
289 hinder daratumumab interference with laboratory testing.

290 During the discussion, Portuguese experts have defined the use of DTT for CD38
291 denaturation as the only available, cost-effective, reproducible, reliable and validated
292 method; however, they also agreed that it is a time-consuming technique that
293 requires specific laboratory conditions and qualified operators. Daratumumab anti-
294 idiotype was considered as an expensive and highly specific technique but
295 commercially not available. The application of sCD38 was also considered a very
296 expensive technique. The experts mentioned the possibility of using umbilical cord
297 cells for mitigating daratumumab interference, as well as patients' phenotyping and
298 genotyping.

299 The Portuguese experts also reinforced the need to align all methodologies
300 according to technical sections described in daratumumab SmPC, such as "4.4 -
301 Special warnings and precautions for use" and "4.5 - Interaction with other medicinal
302 products and other forms of interaction". Hence, it will be possible to establish global
303 protocols and follow consolidated procedures.

304 When answering to the questions raised regarding daratumumab interference with
305 laboratory testing, it was agreed that before starting treatment with daratumumab
306 patients' AB0/ Rh groups should be identified, as well as a broad phenotyping (such
307 as Kell, Duffy, Kidd or MNSs). Thus, techniques such as DATs (or Direct Coombs
308 test) and irregular antibodies screening should be applied. In addition, patients'
309 samples during pre-treatment with daratumumab should be frozen and stored for
310 further evaluation, as a valid comparator.

311 During treatment with daratumumab, and before blood transfusions, patients should
312 be proposed for pre-transfusion testing, AB0/ RhD grouping and irregular antibodies
313 screening. If this result is positive, patients, and antibody screening panel RBCs,
314 should be treated with DTT and, afterwards, confirm the antibody presence through
315 screening. In presence of negative results, there is no need for further tests.

316 Furthermore, some cases were pointed out as relevant and should be considered
317 during the assessment of patients' samples in the laboratory. On the one hand,

318 patients treated with daratumumab, or other CD38 antibodies, who had never been
319 transfused or were transfused for more than 3 months, should be screened for AB0
320 grouping and Rh, Kell, Duffy, Kidd, MNSs phenotyping, because these are the
321 systems that most often have clinically significant antibodies. The erythrocytes to be
322 transfused should be AB0 compatible and negative for the patient's negative
323 antigens, to avoid de formation of antibodies. On the other hand, patients without
324 irregular antibodies and who have been treated with more than one line of treatment,
325 should be assessed at least for AB0/ Rh grouping and Kell antibody screening, while
326 the blood compatibility test should be conducted with DTT-treated samples. Also, for
327 these patients, the procedure should be repeated before each transfusion.

328 In addition, the experts highlighted the importance of communication between
329 Hematologists, Oncologists, Nurses, Professionals in Blood Transfusion Centers and
330 Patients to generate and share reliable and prompt information. As mentioned by De
331 Vooght and co-authors, communication between health care professionals prevents
332 delays and superfluous costs on reagents and resources to repeat laboratory tests
333 [8]. As final suggestion, patients should have a blood group card informing about the
334 treatment with aCD38 monoclonal antibody and physicians should notify blood bank
335 professionals. On the other hand automatic alerts could be created in the laboratory
336 information systems or national databases for patients with irregular antibodies, as
337 the one existing in the Netherlands [8, 23].

338 Thus, the patients' clinical information, such as diagnosis, beginning of
339 daratumumab treatment date and previous history of transfusion, should be given to
340 Transfusion Medicine services before the patient starts the treatment. Information
341 about daratumumab treatment should include the date of the first and latest infusion.
342 Moreover, information containing the laboratory tests results, positive antibodies
343 screening and duration of the analysis should be provided to medical doctors, to
344 emphasize the need of requesting exams soon enough hand to guarantee that all
345 health care professionals are in possession of equal knowledge about the patients.

346 In respect to DTT technique, the experts believe that centralized analysis in
347 reference centers can become an opportunity to implement a unique, detailed and
348 validated procedure for all patients' laboratory testing. Therefore, it is crucial to
349 understand which centers are interested in applying the technique and most

350 importantly if they have the conditions to conduct according to high quality
351 standards.

352

353 **CONCLUSION**

354 Daratumumab, a fully human monoclonal CD38 antibody, is currently used in
355 monotherapy as a rescue therapy for heavily treated patients with relapsed/
356 refractory disease and in combination for patients who have received at least one
357 prior therapy. However, as like other monoclonal antibodies, daratumumab can
358 interfere with laboratory assays, such as blood compatibility tests and irregular
359 antibody screening. Therefore, health care professionals from reference hospitals
360 and blood transfusion clinics have gathered efforts to identify and discuss
361 daratumumab interferences and develop strategies to mitigate those confounding
362 results. As a result, several methods have been developed, among which CD38
363 denaturation with DTT has been considered as a cost-effective, reproducible and
364 easy to apply method. Thus, the implementation of this method in reference centers
365 for centralized analysis could be an opportunity to produce unique, detailed and
366 validated procedures according to high quality standards. On behalf of the interest of
367 patient and health care professionals and despite the willingness of the DTT method
368 to respond to this challenge, Portuguese experts remind the need for industry to
369 focus on solutions that allows integration in laboratory validated routines.

370 Finally, coordination with blood centers to have available identical phenotype blood
371 units of Rh, Kell, Duffy, Kidd or MNSs antigens and communication between health
372 professionals in Hematology, Oncology and Transfusion Medicine services, is crucial
373 to generate and share reliable and prompt information, in order to guarantee that all
374 stakeholders involved in this process have equal knowledge about the patients'
375 clinical and treatment conditions.

376

377 **AUTHOR'S CONTRIBUTIONS**

378 Dialina Brilhante

379 Group 1 – Substantial contributions to conception and design, analysis and
380 interpretation of data;

381 Group 2 - Drafting the article, Critical revision of the article; and

382 Group 3 - Final approval of the version to be published.

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430 Group 3 - Final approval of the version to be published.

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432 **CONFLICT OF INTEREST**

433 The authors whose names are listed immediately below declare that they performed
434 the functions of experts in medical events developed by Janssen Pharmaceuticals
435 Portugal, and received honoraria for the provision of these services.

436 Author names: Dialina Brilhante, Teresa Chabert, Maria José Rodrigues, José
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